

FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))				Attorney Docket No.: P2001,0122 Appl. No.: <u>10/646206</u> Applicant: STEFFEN HORNIG ET AL. Filing Date: August 22, 2003 Group Art Unit: <u>2813</u>			
EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
<i>AMS</i>	A	6,064,524	5/16/00	Oka et al.	—	—	
<i>AMS</i>	B	5,744,293	4/28/98	Okumura et al.	—	—	
<i>AMS</i>	C	5,215,839	6/1/93	Yu	—	—	
	D						
	E						
	F						
	G						
	H						
	I						
FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO
<i>AMS</i>	J	2000-066381	8/20/98	Japan			
<i>AMS</i>	K	0 744 662 A2	11/27/96	Europe			
<i>AMS</i>	L	0 770 579 A1	5/2/97	Europe			
	M						
	N						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
<i>AMS</i>		Schwerzel, R. E.: "'Capped' Colloidal Quantum-Dot Semiconductors: New Opportunities for the Design of Materials with Tailored Optical and Electronic Properties", Georgia Tech Research Institute of Technology, Naval Research Reviews, April 1994, pp. 24-29					
EXAMINER <i>George M. Bell</i>				DATE CONSIDERED <i>10/22/04</i>			